ASC X12N 999 Implementation Acknowledgement for Health Care Insurance Transaction

All batch files uploaded to CT Medicaid by the Trading Partner Secure Web Portal will generate either a TA1 Interchange Acknowledgement or a 999 Implementation Acknowledgement. It is the Trading Partner's responsibility to verify the response file for each batch submission, and to make corrections as needed to allow full processing of submissions. Files which trigger a TA1 Interchange Acknowledgement have significant format issues which prevent the submitted transaction from going through a compliance check. Files that can be identified as HIPAA 5010 X12N transactions will be checked for compliance and will generate a 999 Implementation Acknowledgement which either confirms that the file passed compliance, or identifies the data elements which caused the compliance failure.

The information below is provided as a convenience to CT Medicaid Trading Partners. The Implementation Guide available from the Washington Publishing Company http://www.wpc-edi.com/ is the official standard for the 999 Implementation Acknowledgment transaction, and contains the full listing of error codes.

Trading Partners may contact the EDI Support Unit at 1-800-688-0503 for further assistance in identification of compliance errors in file submissions.

Listed below are samples of an accepted 999 and a rejected 999 transaction.

Accepted transactions

The 999 reports an IK5 and an AK9 to convey the compliance status of transactions and the submitted batch. If both display an A in the first position, the file is accepted.

Sample Accepted 999 Transaction

ISA*00*   *00*   *ZZ*445498161   *ZZ*100000013
*110113*1335*^*00501*000000006*0*P*:
GS*FA*445498161*100000013*20110113*13350701*6*X*005010X231
ST*999*6001*005010X231
AK1*HC*69*005010X222A1
AK2*837*000000001*005010X222A1
IK5*A
AK9*A*1*1*1
SE*6*6001
GE*1*6
IEA*1*000000006

Rejected transactions

The IK5 and AK9 of R indicate a rejected file.

The IK3 reports the segment and line that caused the file rejection. IK301 identifies the segment. IK302 reports the segment position in the transaction, with the count starting at the ST segment. IK303 reports the Loop where the error occurred. IK304 reports the error code. The list of Error Codes may be found in the 999 Implementation Acknowledgement Implementation Guide.

IK401-1 reports the position of the data element that is in error. IK401-2 will report the position of a Component Data Element, if applicable. IK403 will report the Syntax Error code. IK404 will report the erroneous data found in the submission. This field may be blank if the error was a missing data element. The list of Error Codes may be found in the 999 Implementation Acknowledgement Implementation Guide.

CTX segments also provide data on the transaction errors. When the 999 has been produced for an 837 claim submission, the second occurrence of the CTX segment will provide the user with their submitted Patient Account Number in CTX01, which can also be helpful in locating the error in the original transaction.

The following rejection illustrates that segment TRN, line 10 contains 9HPPES000 which is non compliant data because TRN03 does not meet the length requirement of 10 characters.

Sample Rejected 999 Transaction

ISA*00*   *00*   *ZZ*445498161   *ZZ*100000013
*110113*0930*^*00501*000000002*0*P*:
GS*FA*445498161*100000013*20110113*09300789*2*X*005010X231
ST*999*2001*005010X231
AK1*HS*108*005010X279A1
AK2*270*000000001*005010X279A1
IK3*TRN*10*2000*8
CTX*SITUATIONAL TRIGGER*TRN*10*2000*3
CTX*TRN02
IK4*34*9HPPES000**
CTX*SITUATIONAL TRIGGER*TRN*10*2000*3
IK5*R5
AK9*R*1*1*0
SE*11*2001
GE*1*2
IEA*1*000000002

Viewing X12N transactions

Most Trading Partners submit X12N transactions as a single data string. If you do not have an application which formats X12N into one segment per line, you may format it for viewing by using Microsoft Word or any text editor by using a Find and Replace process to identify the Segment Delimiter in your file and replace it with a new line command.

The segment delimiter is commonly a "~", but may be a different character. You will see your Segment Delimiter after ISA16 in your input file.

For Microsoft Word, select the Replace function, and enter ~ in the Find field, then enter ~^p in the Replace field. Select Replace All to format the transaction to a document with a single segment per line. (Do not use the reformatted Microsoft Word document for actual claim submission.)